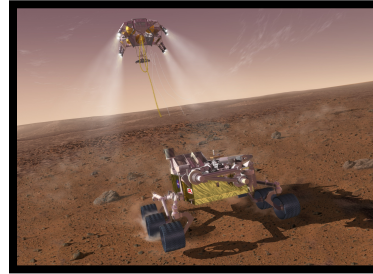


National Aeronautics and  
Space Administration

Jet Propulsion Laboratory  
California Institute of Technology  
Pasadena, California



# A Reference Model for Science Data Archives

**J. Steven Hughes**

steve.hughes@jpl.nasa.gov

NASA Jet Propulsion Laboratory (JPL)

California Institute of Technology

Earth Science Information Partners (ESIP)

Winter Meeting 2018

January 9-11th, 2018 – Bethesda, MD

2018 Geosemantics Symposium

Monday January 8, 2018

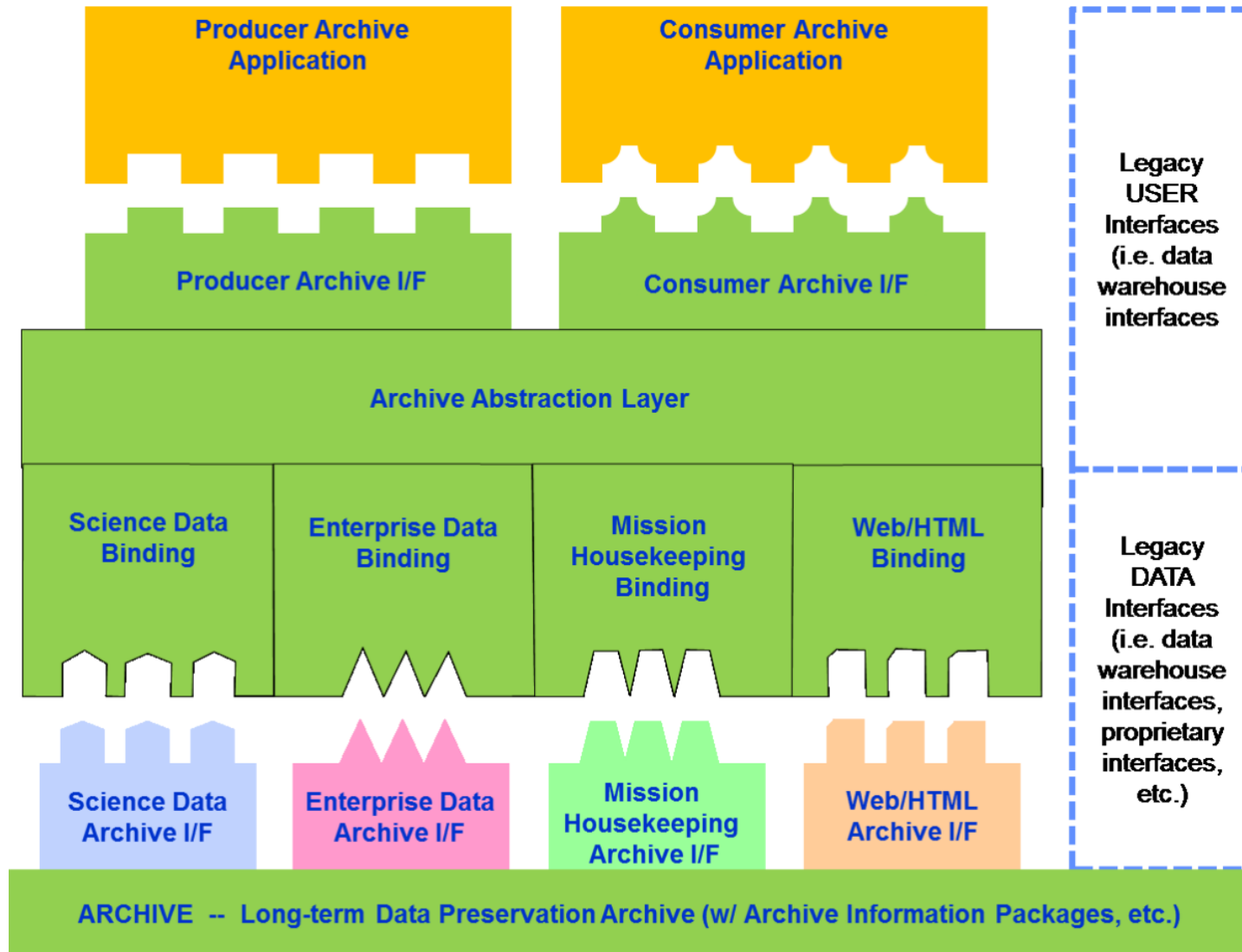


# Overview

- The Consultative Committee for Space Data Systems (CCSDS) Data Archive Interoperability (DAI) working group has developed the core elements for the underlying processes for digital preservation.
  - *Reference Model for an Open Archival Information System (OAIS) – ISO 14721, CCSDS 650.0-M-2*
  - *Information Preparation to Enable Long Term Use (IPELTU)*
- The DAI working group is now addressing interoperable protocols and interface specifications.
  - *Enable the access, merging and interoperable re-use of the data*
  - *Support for the fundamental scientific technique of checking reproducibility of results.*



# Abstract Protocol Architecture<sup>2</sup>



<sup>2</sup>Data Archive Ingest (DAI) WG Report to the CCSDS Management Council (CMC), Figure 2: Notional Data Archive Architecture, March 2017



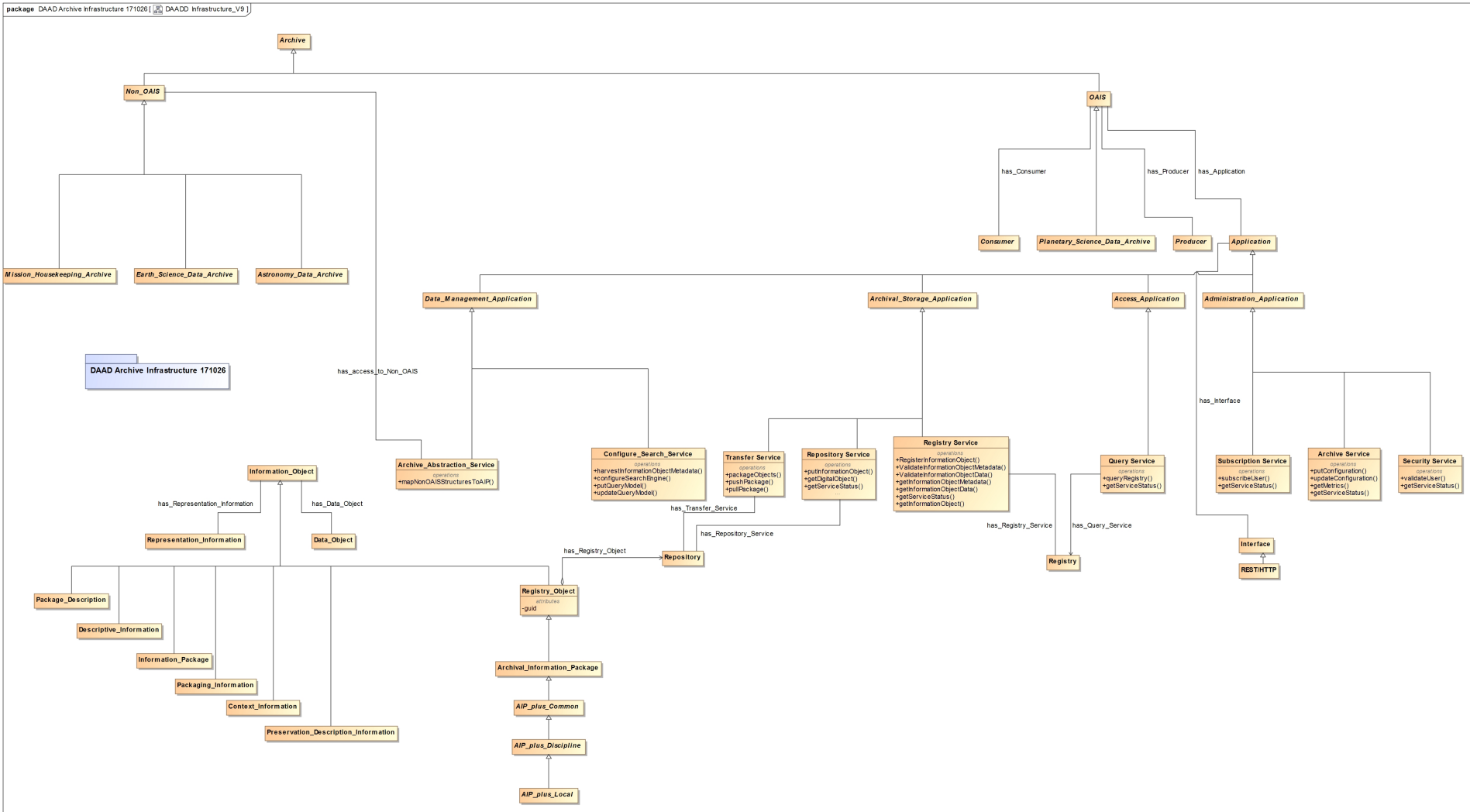
# Data Archive Architecture Reference Model

- The Data Archive Architecture Reference Model (DAARM) is an implementable model for trusted digital repositories<sup>1</sup>.
  - *Trusted Digital Repository - A repository whose mission is to provide usable, long-term access to digital resources for a designated community.*
  - *The model is an integration of concepts and standards from:*
    - Open Archival Information System (OAIS) Reference Model<sup>1</sup>
    - ISO/IEC 11179 Metadata Registry (MDR) standard
    - Three decades of digital repository development for science research.
  - *The intended scope of the reference model is for digital archives in general.*

<sup>1</sup> ISO 14721:2012 (CCSDSS 650.0-P-1.1) Open archival information system (OAIS) -- Reference model



# Draft UML Model





# Information Architecture<sup>1</sup>

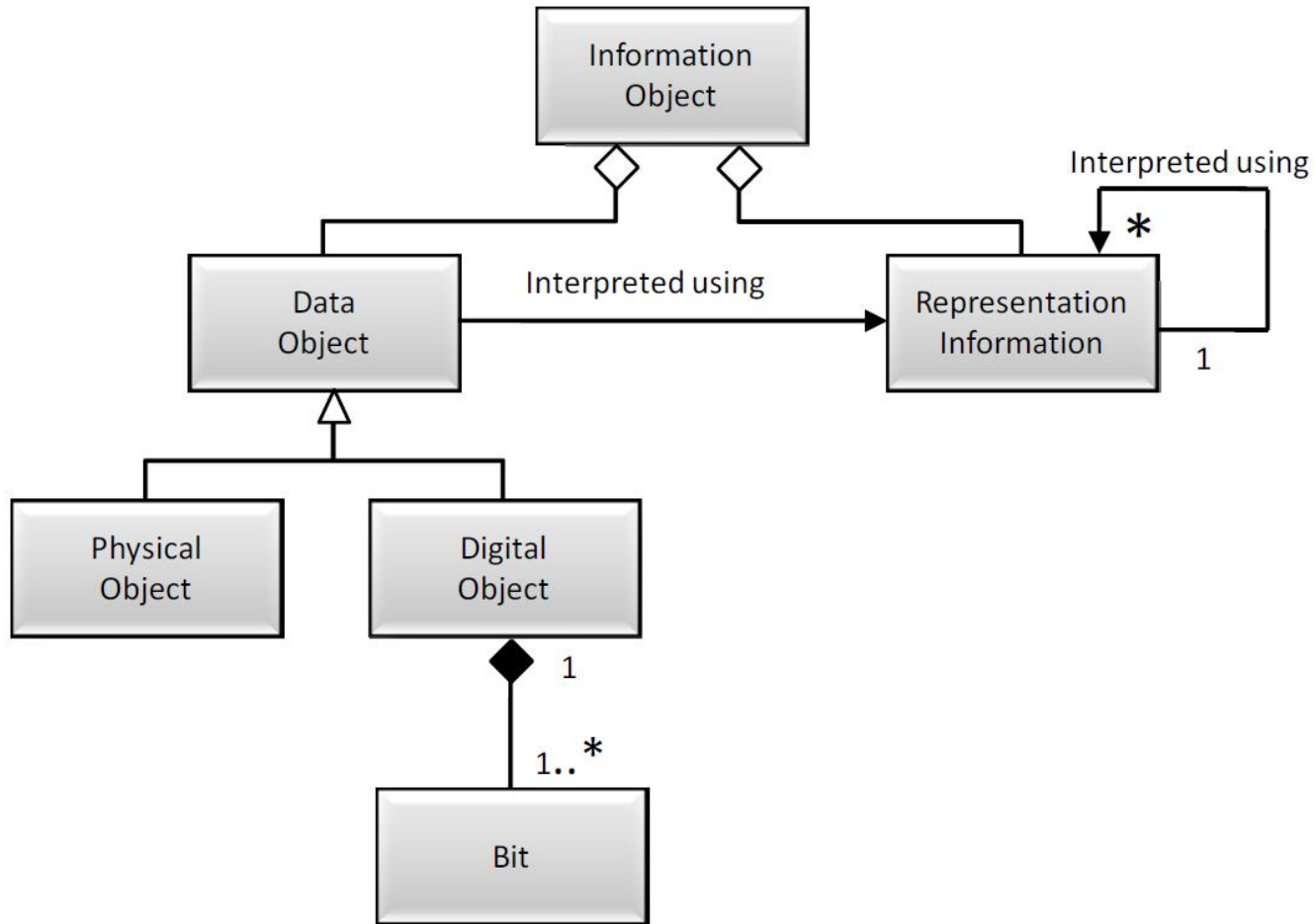
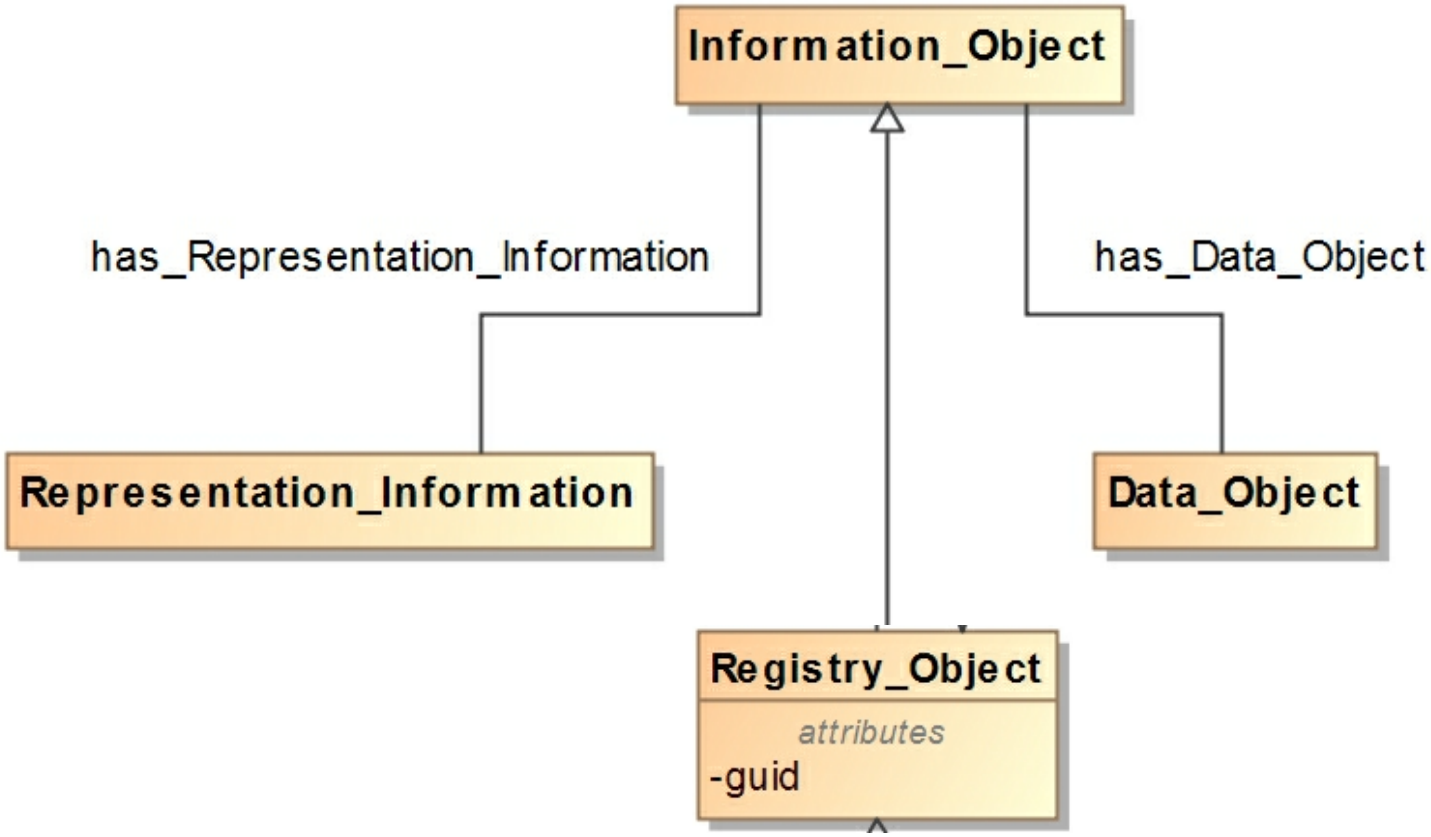


Figure 4-10: Information Object

<sup>1</sup> ISO 14721:2012 (CCSDSS 650.0-P-1.1) Open archival information system (OAIS) -- Reference model



# Registry Object



# Information Categories<sup>1</sup>

1. Identification - Provides a unique and immutable identifier for each data object.
2. Representation/Format - Provides meaning for a data object and allows it to be interpreted.
3. Integrity - Ensures the data object has not been unintentionally altered.
4. Provenance - Provides the history of the data object and is essential for authenticity and reproducibility.
5. Context - Describes the environment in which the data object was created.
6. Reference - Allows the data object to be referenced.
7. Access Rights - Defines the access restrictions pertaining to the data object , including the legal framework, licensing terms, and access control
8. Quality\* - Provides a scheme for assessing and assigning a quality measure to the data object .





# Functional Entities<sup>1</sup>

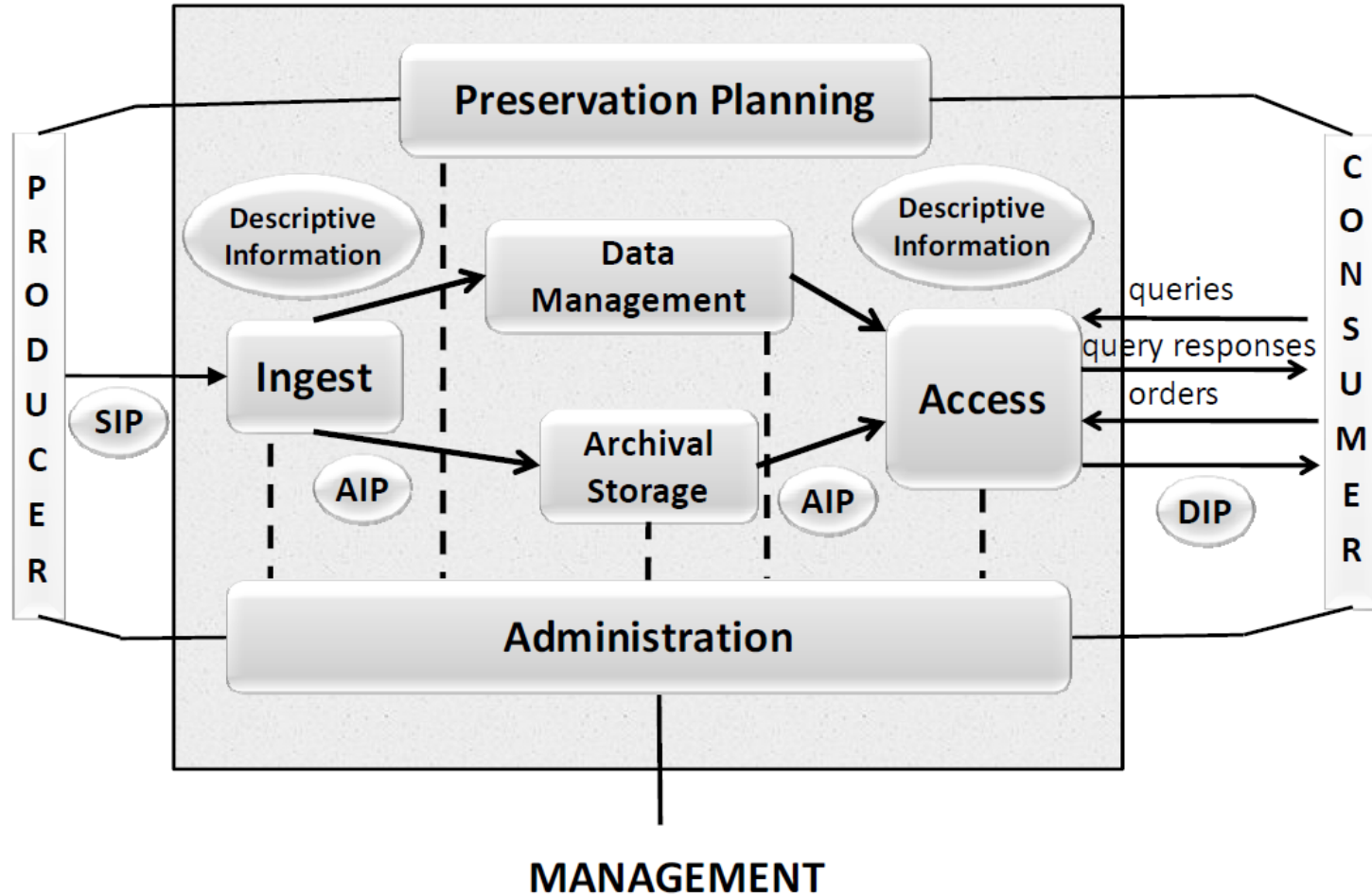


Figure 4-1: OAIS Functional Entities

<sup>1</sup> ISO 14721:2012 (CCSDSS 650.0-P-1.1) Open archival information system (OAIS) -- Reference model



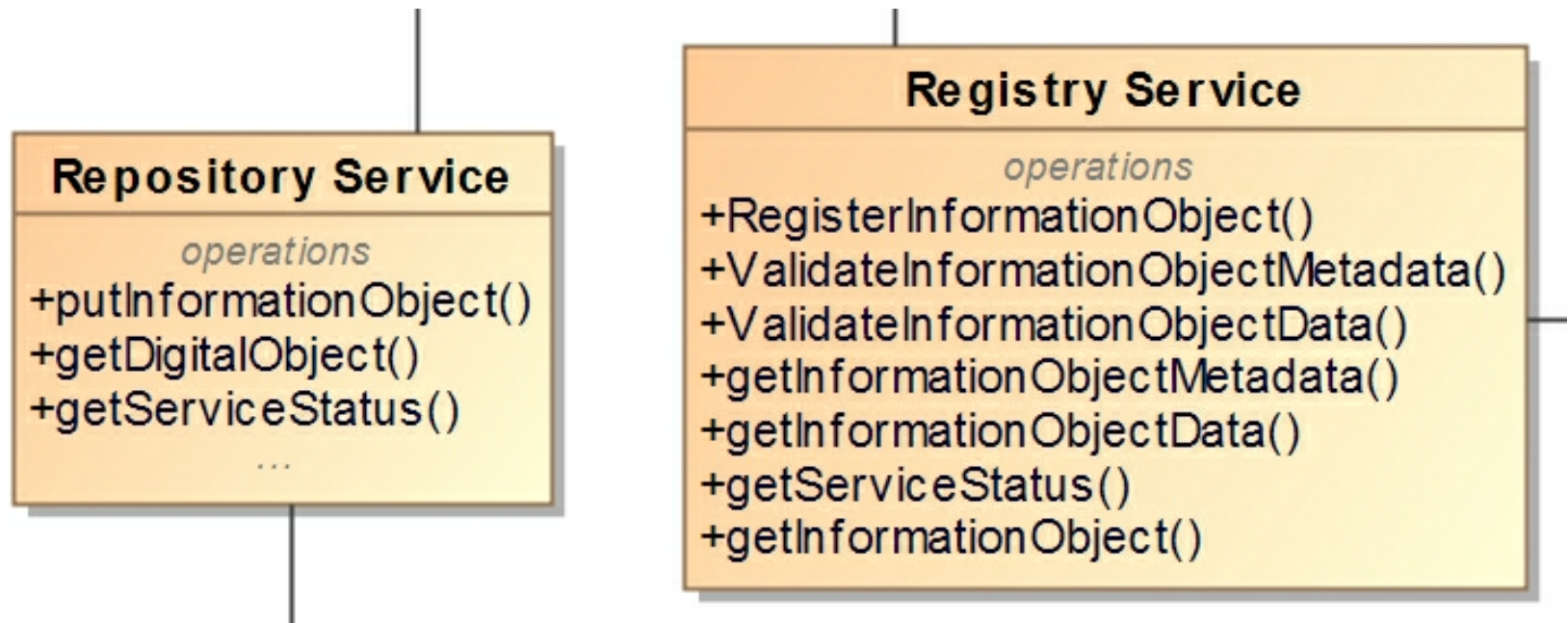
# Functional Entities<sup>1</sup>

- Ingest - Accept information objects from producers, prepares them for storage, and ensures that they become established.
- Archival – Store and retrieve Information Objects.
- Data Management – Maintaining administrative information, for example consumer access statistics.
- Access - Make the archival information holdings and related services visible to Consumers.
- Administration - Control the operation of the other functional entities.
- Preservation Planning - Monitoring the environment to ensure that the information stored remains usable by the Designated Community.



# Archival

## Store and Retrieve Information Objects





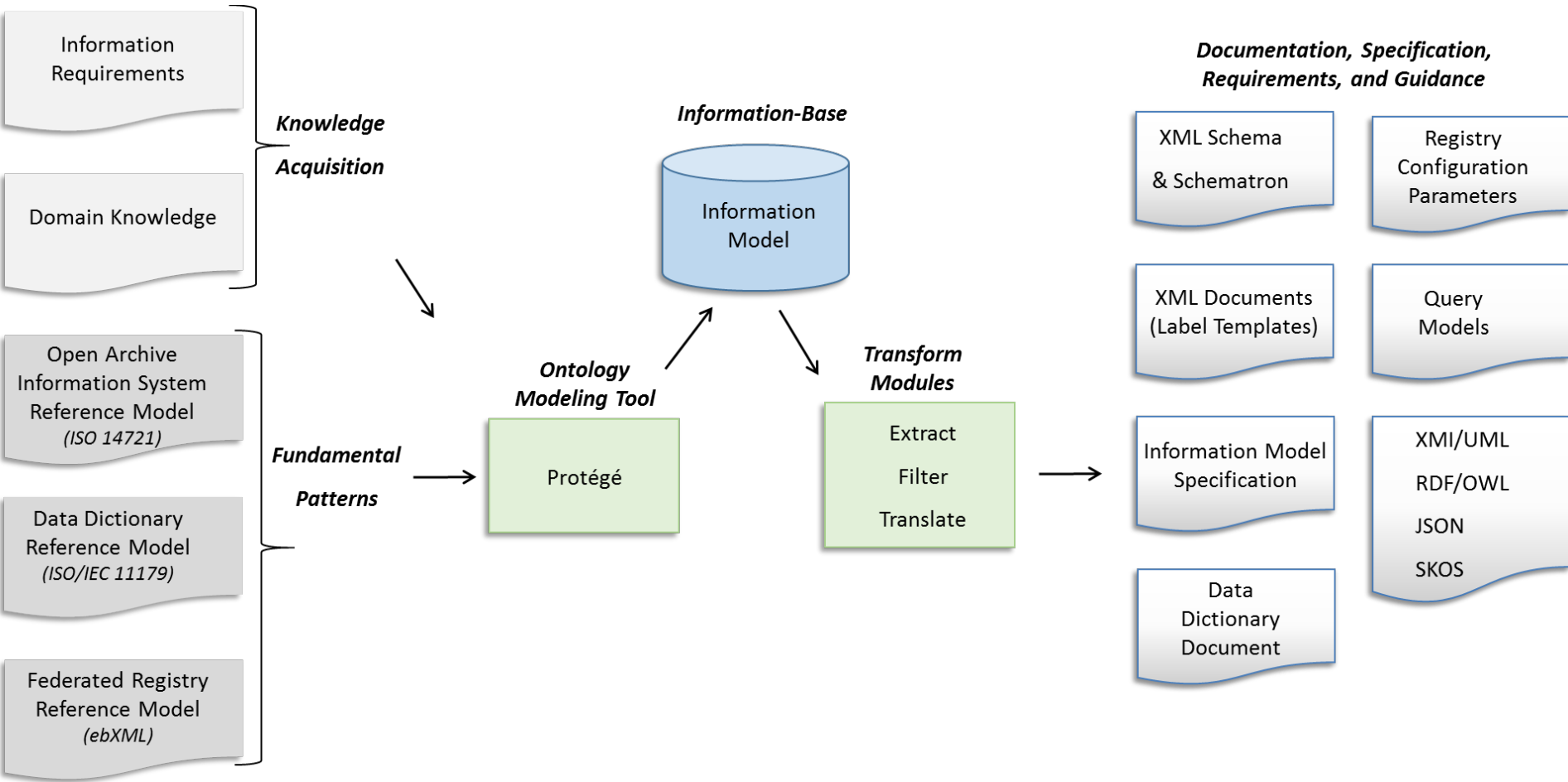
# Information Model Definitions

- “An information model is a representation of concepts, relationships, constraints, rules, and operations to specify data semantics for a chosen domain of discourse.”<sup>1</sup>
- It provides a sharable, stable, and organized structure of **information requirements** or knowledge for the domain context.
- Information Modeling is an essential discipline within Data Science

<sup>1</sup> Lee, Y. T. 1999. Information Modeling: From Design To Implementation. In Proceedings of the Second World Manufacturing Congress, ed. S. Nahavandi and M. Saadat, 315-321. Canada/Switzerland: International Computer Science Conventions.



# Information Model (IM)





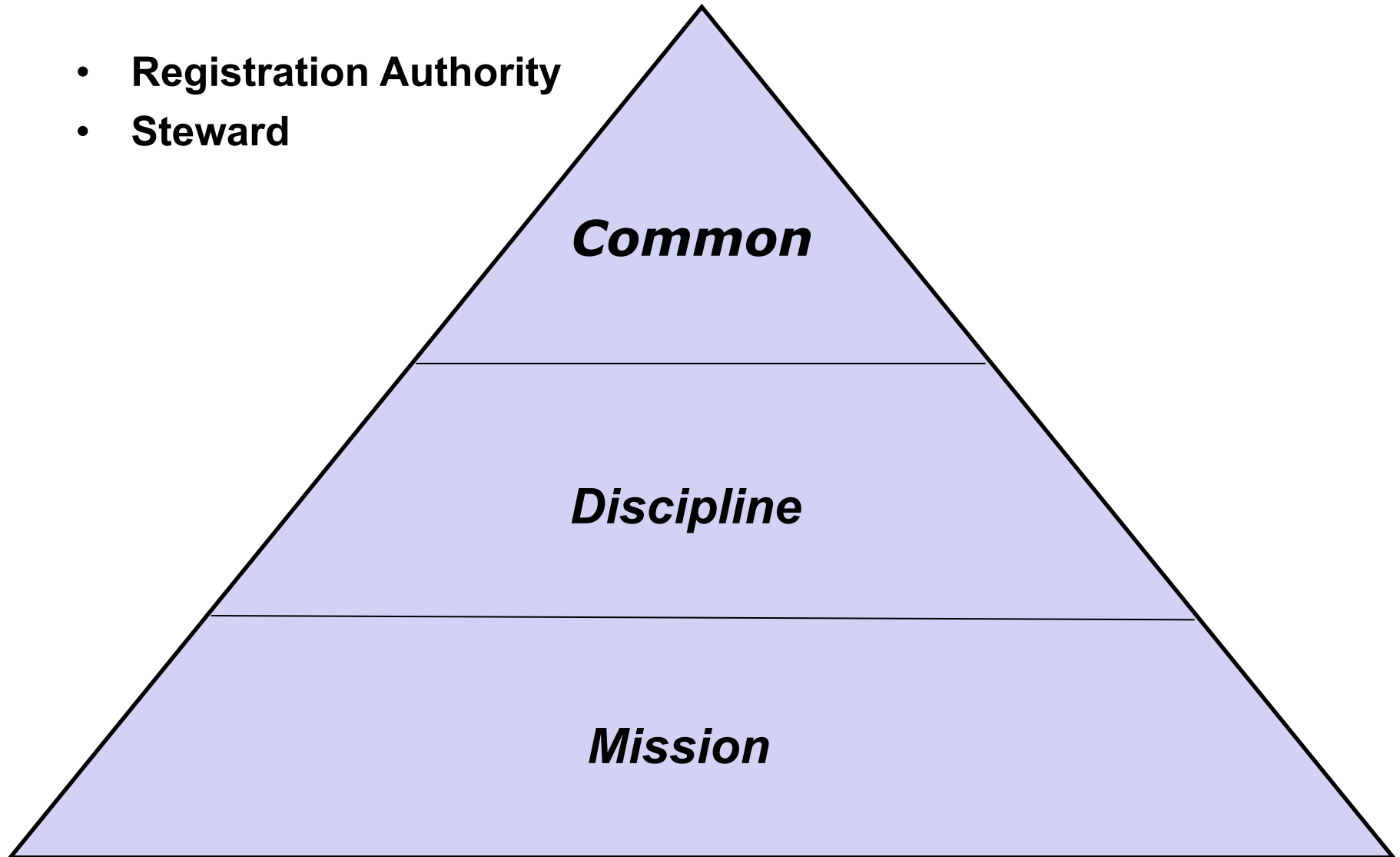
# Information Model Roles

- **Requirements:** The IM is the primary source for information requirements.
- **Governance:** A multi-level governance scheme reduces the impact of change as the science community grows and evolves.
- **Semantics:** The IM provides named relationships to support semantic technologies
- **Usability:** The IM provides the metadata needed to interpret and use the data.
- **Interoperability:** The IM is designed by discipline experts to provide interoperability, at multiple levels.
- **Configuration:** Extracts from the IM are used to configure tools and services



# Multi-level Governance

- **Registration Authority**
- **Steward**





# Model Components

## Common, Discipline and Mission Dictionaries

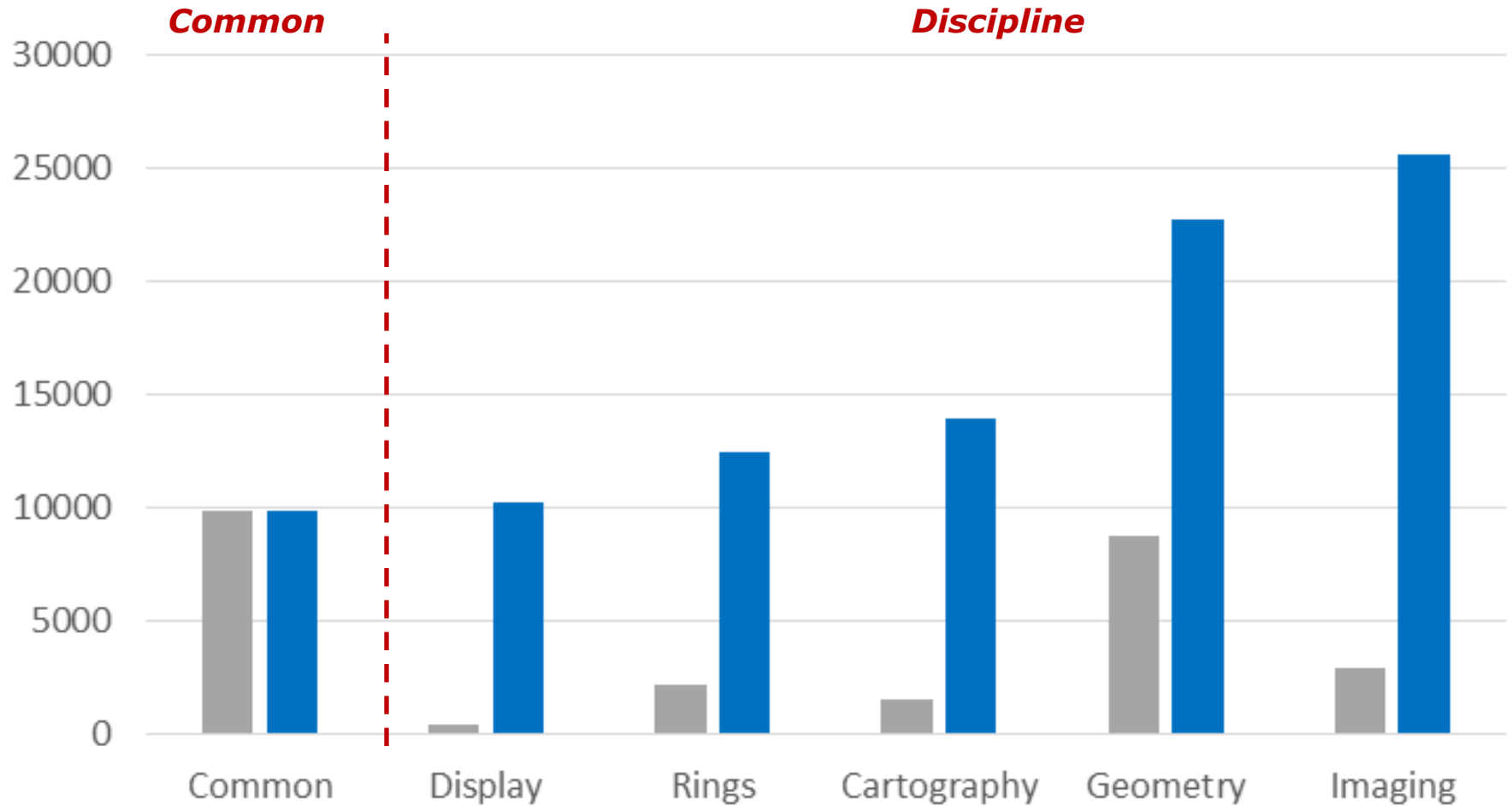
Registration Authority	Steward Id	Namespace Id*	XML Schema Namespace	Logical Identifier Prefix	Governance Level	Steward	Oversight
0001_NASA_PDS_1	pds	pds	<a href="http://pds.nasa.gov/pds4/pds/v1">http://pds.nasa.gov/pds4/pds/v1</a>	urn:nasa:pds:	Common	PDS EN Node*****	CCB
0001_NASA_PDS_1	atm	atm	<a href="http://pds.nasa.gov/pds4/atm/v1">http://pds.nasa.gov/pds4/atm/v1</a>	urn:nasa:pds:	Discipline	PDS ATM Node	
0001_JAXA_DARTS_1	darts	darts	<a href="http://pds.nasa.gov/pds4/darts/v1">http://pds.nasa.gov/pds4/darts/v1</a>	urn:jaxa:darts:	Discipline	DARTS (JAXA)	
0001_NASA_PDS_1	en	dph	<a href="http://pds.nasa.gov/pds4/dph/v1">http://pds.nasa.gov/pds4/dph/v1</a>	urn:nasa:pds:	Discipline	PDS EN Node	
0001_NASA_PDS_1	geo	geo	<a href="http://pds.nasa.gov/pds4/geo/v1">http://pds.nasa.gov/pds4/geo/v1</a>	urn:nasa:pds:	Discipline	PDS GEO Node	
0001_NASA_PDS_1	geo	geom	<a href="http://pds.nasa.gov/pds4/geom/v1">http://pds.nasa.gov/pds4/geom/v1</a>	urn:nasa:pds:	Discipline	PDS GEO Node	
0001_NASA_PDS_1	img	cart	<a href="http://pds.nasa.gov/pds4/cart/v1">http://pds.nasa.gov/pds4/cart/v1</a>	urn:nasa:pds:	Discipline	PDS IMG Node	
0001_NASA_PDS_1	img	disp	<a href="http://pds.nasa.gov/pds4/disp/v1">http://pds.nasa.gov/pds4/disp/v1</a>	urn:nasa:pds:	Discipline	PDS IMG Node	
0001_NASA_PDS_1	img	img	<a href="http://pds.nasa.gov/pds4/img/v1">http://pds.nasa.gov/pds4/img/v1</a>	urn:nasa:pds:	Discipline	PDS IMG Node	
0001_NASA_PDS_1	naif	naif	<a href="http://pds.nasa.gov/pds4/naif/v1">http://pds.nasa.gov/pds4/naif/v1</a>	urn:nasa:pds:	Discipline	PDS NAIF Node	
0001_NASA_PDS_1	ops	pds	<a href="http://pds.nasa.gov/pds4/pds/v1">http://pds.nasa.gov/pds4/pds/v1</a>	urn:nasa:pds:	Discipline	PDS EN Node	
0001_NASA_PDS_1	ppi	alt	<a href="http://pds.nasa.gov/pds4/alt/v1">http://pds.nasa.gov/pds4/alt/v1</a>	urn:nasa:pds:	Discipline	PDS PPI Node	
0001_NASA_PDS_1	ppi	particle	<a href="http://pds.nasa.gov/pds4/particle/v1">http://pds.nasa.gov/pds4/particle/v1</a>	urn:nasa:pds:	Discipline	PDS PPI Node	
0001_NASA_PDS_1	ppi	ppi	<a href="http://pds.nasa.gov/pds4/ppi/v1">http://pds.nasa.gov/pds4/ppi/v1</a>	urn:nasa:pds:	Discipline	PDS PPI Node	
0001_NASA_PDS_1	ppi	wave	<a href="http://pds.nasa.gov/pds4/wave/v1">http://pds.nasa.gov/pds4/wave/v1</a>	urn:nasa:pds:	Discipline	PDS PPI Node	
0001_ESA_PSA_1	psa	psa	<a href="http://psa.esa.int/psa/v1">http://psa.esa.int/psa/v1</a>	urn:psa:esa:	Discipline	ESA PSA	
0001_NASA_PDS_1	rings	rings	<a href="http://pds.nasa.gov/pds4/rings/v1">http://pds.nasa.gov/pds4/rings/v1</a>	urn:nasa:pds:	Discipline	PDS Rings Node	
0001_NASA_PDS_1	rs	rs	<a href="http://pds.nasa.gov/pds4/rs/v1">http://pds.nasa.gov/pds4/rs/v1</a>	urn:nasa:pds:	Discipline	PDS RS Node	
0001_ROS_RSSA_1	rssa	rssa	<a href="http://pds.nasa.gov/pds4/rssa/v1">http://pds.nasa.gov/pds4/rssa/v1</a>	urn:ros:rssa:	Discipline	RSSA (IKI)	
0001_NASA_PDS_1	sbn	sbn	<a href="http://pds.nasa.gov/pds4/sbn/v1">http://pds.nasa.gov/pds4/sbn/v1</a>	urn:nasa:pds:	Discipline	PDS SBN	
0001_NASA_PDS_1	sbn	sp	<a href="http://pds.nasa.gov/pds4/sp/v1">http://pds.nasa.gov/pds4/sp/v1</a>	urn:nasa:pds:	Discipline	PDS SBN	
0001_NASA_PDS_1	atm	ladee	<a href="http://pds.nasa.gov/pds4/mission/ladee/v1">http://pds.nasa.gov/pds4/mission/ladee/v1</a>	urn:nasa:pds:	Mission	PDS ATM Node	
0001_NASA_PDS_1	atm	ladee	<a href="http://pds.nasa.gov/pds4/ladee/v1">http://pds.nasa.gov/pds4/ladee/v1</a>	urn:nasa:pds:	Mission	PDS ATM Node	
0001_NASA_PDS_1	geo	insight	<a href="http://pds.nasa.gov/pds4/mission/insight/v1">http://pds.nasa.gov/pds4/mission/insight/v1</a>	urn:nasa:pds:	Mission	PDS GEO Node	
0001_NASA_PDS_1	img	mgs	<a href="http://pds.nasa.gov/pds4/mission/mgs/v1">http://pds.nasa.gov/pds4/mission/mgs/v1</a>	urn:nasa:pds:	Mission	PDS IMG Node	
0001_NASA_PDS_1	img	mpf	<a href="http://pds.nasa.gov/pds4/mission/mpf/v1">http://pds.nasa.gov/pds4/mission/mpf/v1</a>	urn:nasa:pds:	Mission	PDS IMG Node	
0001_NASA_PDS_1	sbn	orex	<a href="http://pds.nasa.gov/pds4/mission/orex/v1">http://pds.nasa.gov/pds4/mission/orex/v1</a>	urn:nasa:pds:	Mission	PDS SBN	
0001_NASA_PDS_1	ppi	mvn	<a href="http://pds.nasa.gov/pds4/mission/mvn/v1">http://pds.nasa.gov/pds4/mission/mvn/v1</a>	urn:nasa:pds:	Mission	PDS PPI Node	
0001_NASA_PDS_1	ppi	mvn	<a href="http://pds.nasa.gov/pds4/mvn/v1">http://pds.nasa.gov/pds4/mvn/v1</a>	urn:nasa:pds:	Mission	PDS PPI Node	
0001_NASA_PDS_1	sbn	bopps	<a href="http://pds.nasa.gov/pds4/mission/bopps/v1">http://pds.nasa.gov/pds4/mission/bopps/v1</a>	urn:nasa:pds:	Mission	PDS SBN	





# Information Requirements Generated from the Dictionaries

## Lines of XML Schema and Schematron





# Usability

- A desk assessment of PDS4 against ISO 16363<sup>1</sup>, the instrument for assessing a repository against the OAIS Reference Model<sup>2</sup>, found that 92% of the metrics of the ISO 16363 standard were satisfied
  - Governance and Organizational Viability
  - Digital Object Management
  - Infrastructure and Security Risk Management.
- Maintain the value of the data over time

<sup>1</sup> ISO 16363:2012 (CCSDS 652.0-R-1) Audit and certification of trustworthy digital repositories

<sup>2</sup> ISO 14721:2012 (CCSDSS 650.0-P-1.1) Open archival information system (OAIS) -- Reference model



# Next Steps

- **CCSDS Data Archive Interoperability (DAI) Working Group**
  - *Write and review CCSDS Blue Book*
    - CCSDS Fall 2018 Technical Meetings (Spring and Fall)
    - Develop two working prototypes
    - Reference Model Review
      - *JPL's Center for Data Science and Technology – D. Crichton*
      - *NASA Planetary Data System (PDS) System Development – S. Hardman*
      - *CCSDS Systems Architecture (SAWG) Chair – P. Shames*
      - *JPL's Multimission Ground System and Services (MGSS) Project – C. Radulescu*
      - *Life Storage of Mission Data (LSMD) task – M. McAuley*
      - *FernUniversität in Hagen - M. Hemmje*
      - *Engineering Data Management (EDM) task – L. Jewell*
      - *Information Retrieval and Data Science Group – C. Mattmann*



# Acknowledgements

- CCSDS Data Archive Interoperability (DAI) Working Group
  - Bruce Ambacher
  - Robert Downs
  - John Garrett
  - David Giarretta
  - Matthias Hemmje
  - Mike Kearney
  - Terry Longstreth
  - Don Sawyer
- JPL's Center for Data Science and Technology – Dan Crichton
- NASA Planetary Data System (PDS) – Sean Hardman, Ronald Joyner
- JPL Principal Data Scientist, USC Adjunct Associate Professor – Chris Mattmann
- JPL's Multimission Ground System and Services (MGSS) Project – Costin Radulescu
- CCSDS Systems Architecture (SAWG) Chair – Peter Shames
- Life Storage of Mission Data (LSMD) task – Mike McAuley
- Engineering Data Management (EDM) task – Laura Jewell



# References

- *Reference Model for an Open Archival Information System (OAIS), ISO 14721:2012.*
- *Data Archive Ingest (DAI) WG Report to the CCSDS Management Council (CMC), Figure 2: Notional Data Archive Architecture, March 2017*
- *DAI Architecture Analysis, SEA System Architecture WG, Slide 16, Alternative Standardized Archive System Architecture Deployment Option (3), May 2017*
- *Planetary Data System PDS4 Information Model Specification, Version 1.8.0.0, March 2017.*
- *Planetary Data System - PDS4 System Architecture Specification September 1, 2013, Version 1.3.*
- *CCSDS Reference Architecture for Space Information Management (RASIM) CCSDS 311.0-M-1*
- *The Semantic Planetary Data System, PV2005, Edinburgh, November 2005.*
- *PDS-D – The Planetary Data System Distribution Subsystem. Lunar and Planetary Science XXXIV (2003)*
- *The Planetary Data System - Distributed Inventory System, IEEE Forum on Research and Technology Advances in Digital Libraries, 1999. Proceedings.*



**National Aeronautics and  
Space Administration**

**Jet Propulsion Laboratory**  
California Institute of Technology  
Pasadena, California

# **Thank You**

## **Questions and Answers**

**PDS homepage: <https://pds.nasa.gov/>**

---

Acknowledgements - This research was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration.

© 2017 California Institute of Technology. Government sponsorship acknowledged.



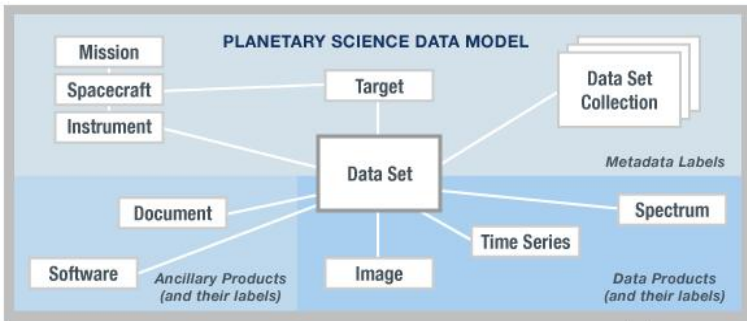
# Status Continued

- **Utilize the Cornerstone Framework (NPO-49832) for model capture and management.**
  - *Cornerstone is the framework used to capture and manage the PDS4 Information Model.*
  - *Provides a framework for model-driven information system development*
  - *Maintains Information Model independence.*

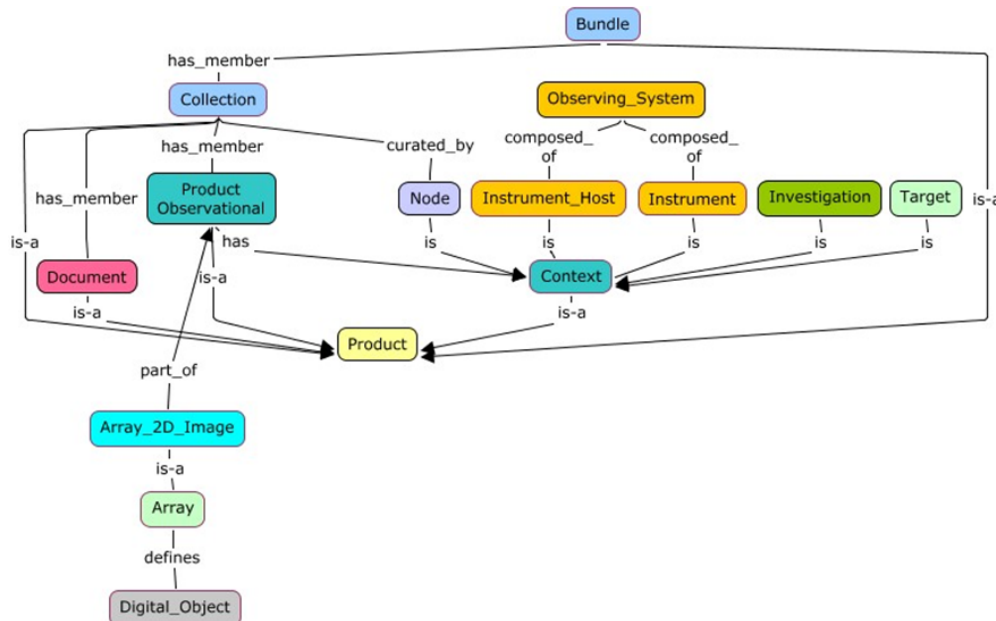


# View Points

## Community's View



## Information Modeler's View



## Repository View

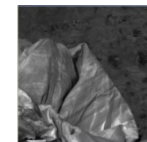
### Product

#### Tagged Data Object

(Information Object)

```
<local_identifier>MPFL_M_IMP_IMAGE</local_identifier>
<offset unit="byte">0</offset>
<axes>2</axes>
<axis_index_order>Last_Index_Fastest</axis_index_order>
<encoding_type>Binary</encoding_type>
<Element_Array>
  <data_type>SignedMSB4</data_type>
  <unit>pixel</unit>
</Element_Array>
<Axis_Array>
  <axis_name>Line</axis_name>
  <elements>248</elements>
  <sequence_number>1</sequence_number>
</Axis_Array>
<Axis_Array>
  <axis_name>Sample</axis_name>
  <elements>256</elements>
  <sequence_number>2</sequence_number>
</Axis_Array>
</Array_2D_Image>
```

Describes

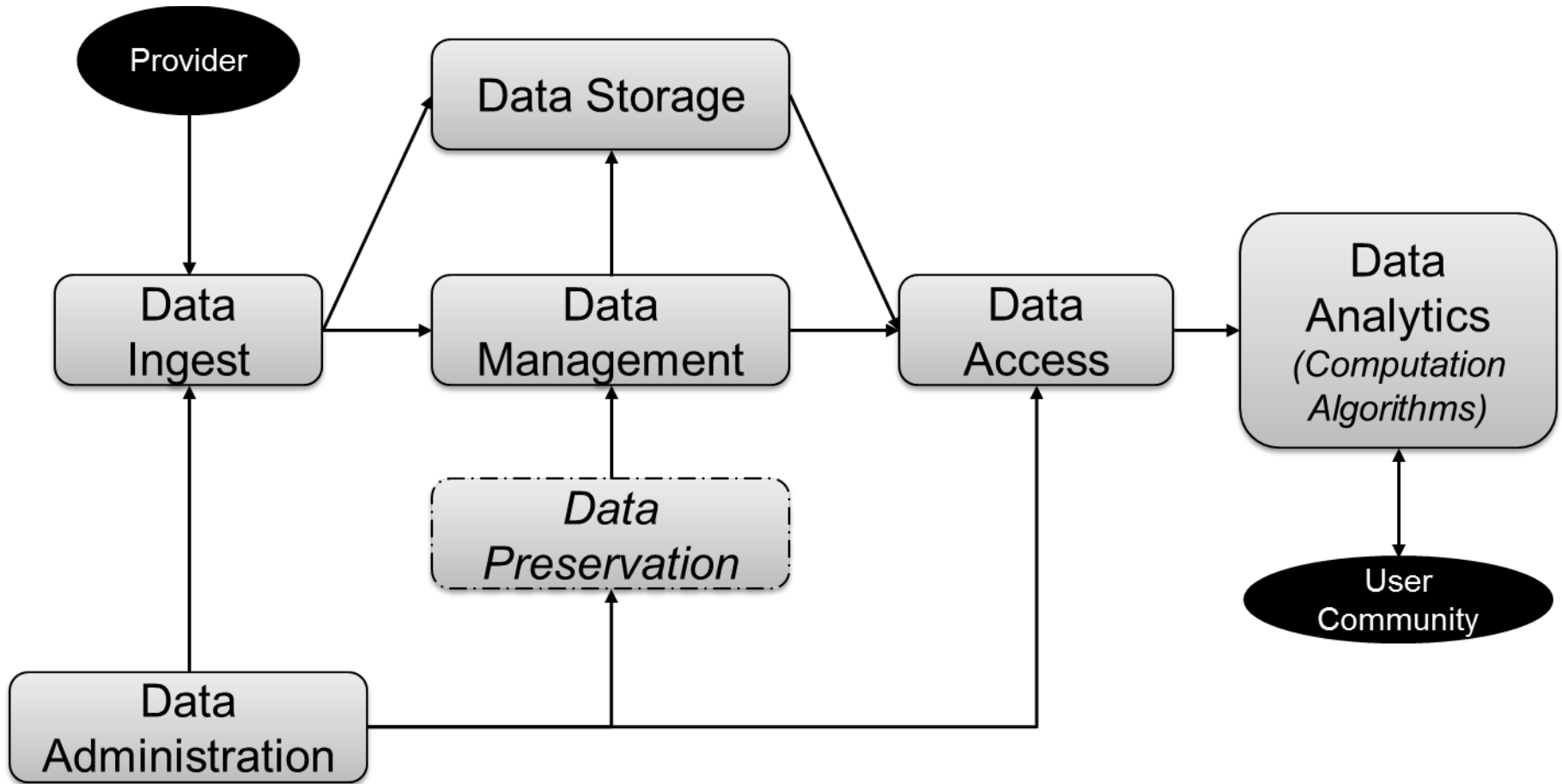


Data Object





# Conceptual Architecture<sup>1</sup>



<sup>1</sup> ISO 14721:2012 (CCSDSS 650.0-P-1.1) Open archival information system (OAIS) -- Reference model



# Semantics

- All registry objects are first class products.
  - *All products have a Persistent Identifier (PID)*
  - *Named relationships are used to relate objects (semantic)*
    - data, documents, people, software, and contextual objects
  - *Supports Linked Open Data.*